

Investor Complaint Data for Broking for September, 2026								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	7
2	SEBI (SCORES)	4	2	0	6	0	0	2
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources, ODR	0	1	0	1	0	0	0
	Grand Total	4	3	0	7	0	0	

Trend of Monthly Disposal of Complaints - Broking					
Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2026	1	27	27	1
2	May-2026	1	26	23	4
3	Jun-2026	0	4	7	1
4	Jul-2026	0	6	7	0
5	Aug-2026	0	13	9	4
6	Sep-2026	4	3	7	0
7	Oct-2026	0	0	0	0
8	Nov-2026	0	0	0	0
9	Dec-2026	0	0	0	0
10	Jan-2027	0	0	0	0
11	Feb-2027	0	0	0	0
12	Mar-2027	0	0	0	0
	Grand Total	1	53	50	5

Trend of annual disposal of complaints (Broking)					
Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2026-27	1	79	80	0
2	2025-26	0	387	386	1
3	2024-25	3	187	190	0
4	2023-24	2	199	198	3
5	2022-23	60	2239	2297	2
6	2021-22	36	3625	3601	60
7	2020-21	86	4636	4680	42
8	2019-20	120	3483	3517	86
9	2018-19	142	3014	3036	120

Investor Complaint Data for Depository for September, 2026								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	1	0	1	0	0	7
2	SEBI (SCORES)	1	4	1	4	1	0	2
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources, ODR	0	5	0	5	0	0	2
	Grand Total	1	10	1	10	1	0	

Trend of Monthly Disposal of Complaints - Depository					
Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2026	6	11	13	4
2	May-2026	4	10	11	3
3	Jun-2026	3	10	12	1
4	Jul-2026	1	9	9	1
5	Aug-2026	1	14	14	1
6	Sep-2026	1	10	10	1
7	Oct-2026	0	0	0	0
8	Nov-2026	0	0	0	0
9	Dec-2026	0	0	0	0
10	Jan-2027	0	0	0	0
11	Feb-2027	0	0	0	0
12	Mar-2027	0	0	0	0
	Grand Total	10	21	24	7

Trend of annual disposal of complaints (Depository)					
SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2026-27	6	64	69	1
2	2025-26	0	175	169	6
3	2024-25	0	134	134	0
4	2023-24	0	141	141	0
5	2022-23	1	145	146	0
6	2021-22	6	288	293	1
7	2020-21	86	4636	4680	42
8	2019-20	120	3483	3517	86
9	2018-19	142	3014	3036	120

Investor Complaint Data for Investment Advisory for September, 2026							
Sr. No.	Received from	Pending at the end of last month	Received	Resolved *	Total Pending #	Pending complaints > 3 months	Average Resolution time^(in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
	Grand Total	0	0	0	0	0	0

Trend of Monthly Disposal of Complaints					
Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	Apr-2026	NIL	NIL	NIL	NIL
2	May-2026	NIL	NIL	NIL	NIL
3	Jun-2026	NIL	NIL	NIL	NIL
4	Jul-2026	NIL	NIL	NIL	NIL
5	Aug-2026	NIL	NIL	NIL	NIL
6	Sep-2026	NIL	NIL	NIL	NIL
7	Oct-2026	NIL	NIL	NIL	NIL
8	Nov-2026	NIL	NIL	NIL	NIL
9	Dec-2026	NIL	NIL	NIL	NIL
10	Jan-2027	NIL	NIL	NIL	NIL
11	Feb-2027	NIL	NIL	NIL	NIL
12	Mar-2027	NIL	NIL	NIL	NIL
	Grand Total	0	0	0	0

Trend of Annual Disposal of Complaints					
Sr. No.	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2026-27	NIL	NIL	NIL	NIL
2	2025-26	NIL	NIL	NIL	NIL
3	2024-25	NIL	NIL	NIL	NIL
4	2023-24	NIL	NIL	NIL	NIL
5	2022-23	NIL	NIL	NIL	NIL
6	2021-22	NIL	NIL	NIL	NIL
7	2020-21	NIL	NIL	NIL	NIL
	Grand Total	0	0	0	0

Investor Complaint Data for Research Analyst for September, 2026							
Sr. No.	Received from	Pending at the end of last month	Received	Resolved *	Total Pending #	Pending complaints > 3 months	Average Resolution time^(in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
	Grand Total	0	0	0	0	0	0

Trend of Monthly Disposal of Complaints					
Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	Apr-2026	NIL	NIL	NIL	NIL
2	May-2026	NIL	NIL	NIL	NIL
3	Jun-2026	NIL	NIL	NIL	NIL
4	Jul-2026	NIL	NIL	NIL	NIL
5	Aug-2026	NIL	NIL	NIL	NIL
6	Sep-2026	NIL	NIL	NIL	NIL
7	Oct-2026	NIL	NIL	NIL	NIL
8	Nov-2026	NIL	NIL	NIL	NIL
9	Dec-2026	NIL	NIL	NIL	NIL
10	Jan-2027	NIL	NIL	NIL	NIL
11	Feb-2027	NIL	NIL	NIL	NIL
12	Mar-2027	NIL	NIL	NIL	NIL
	Grand Total	0	0	0	0

Trend of Annual Disposal of Complaints					
Sr. No.	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2026-27	NIL	NIL	NIL	NIL
2	2025-26	NIL	NIL	NIL	NIL
3	2024-25	NIL	NIL	NIL	NIL
4	2023-24	NIL	NIL	NIL	NIL
5	2022-23	NIL	NIL	NIL	NIL
6	2021-22	NIL	NIL	NIL	NIL
7	2020-21	NIL	NIL	NIL	NIL
	Grand Total	0	0	0	0